



IN THE U.S. PATENT AND TRADEMARK OFFICE

Appl. No. : 09/783,897
Applicant : Morar, John F., et al.
Filed : February 15, 2001
TC/AU : 3621
Examiner : Bayat, Bradley B.

Docket No. : YOR920000719US1
Title : METHOD AND APPARATUS FOR PROVIDING INDEPENDENT FILTERING
OF E-COMMERCE TRANSACTIONS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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APR 12 2004
GROUP 3600

DECLARATION UNDER 37 C.F.R. § 1.131

1. We the undersigned inventors, John F. Morar, Ian N. Whalley, Steve R. White, David M. Chess, Aaron Kishenbaum, and Edward Pring, hereby attest that we are the joint and first inventors of the invention described and claimed in the above-referenced patent application now pending before the U.S. Patent Office. Exhibits A through D described below represent our own work on the invention described in that patent application during the times indicated.

2. We conceived of the invention at least as early as August 29, 2000, as evidenced by Exhibit A. Exhibit A is an Invention Disclosure prepared by John F. Morar that summarizes certain key concepts of the invention. Other dates noted within Exhibit A potentially evidence earlier dates of conception. We do not claim that the handwritten notes on Exhibit A form a part of the Invention Report as it existed on August 29, 2000.

3. From at least August 29, 2000 through February 15, 2001, we continued to reduce the invention to practice, as evidenced by Exhibits B through D and the further statements below. Exhibit B is a copy of an email dated September 8, 2000 from David Shofi of IBM to each of us, concerning a meeting between the inventors and him that occurred on September 7, 2000. That email and meeting recite that the invention was to be the subject of a patent application, and set forth more detailed disclosure that the inventors would develop toward that end.

4. Exhibit C is a copy of a Supplementary Invention Report that we prepared in response to the email of Exhibit B and the meeting noted in that email.

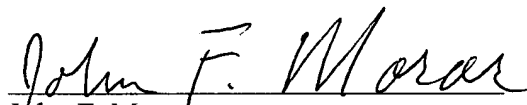
5. Exhibit D is a copy of an email dated October 9, 2000 from inventor John F. Morar to David Shofi, noting an attachment entitled "V91 Filtering e-commerce transacti". That attachment was identical to Exhibit C above.

6. To the best of our recollection, we received and commented on draft patent applications during January, 2001; we agreed to a final draft of a patent application during February, 2001, and we each signed a declaration concerning that final draft during February, 2001.


7. Each of the redacted portions of Exhibits A through D do not relate to the inventive substance of the invention.

8. Each of the undersigned inventors hereby attests that the Exhibits cited herein are true copies of the original documents asserted above. Each of us hereby acknowledges that the statements made herein are true or are made on information and belief that is believed to be true. I further acknowledge that any willful false statements are punishable by fine or imprisonment, or both, in accordance with 18 U.S.C. § 1001; and that such false statements may jeopardize the validity of any patent that may issue from the application to which this Declaration pertains.

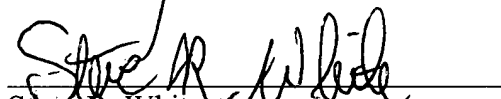
Respectfully Submitted,


John F. Morar

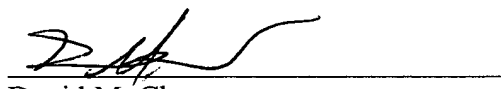
4/1/2004
Date


Ian N. Whalley

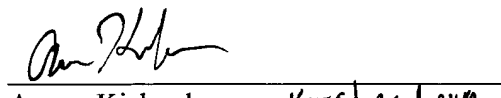
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Date


Steve R. White

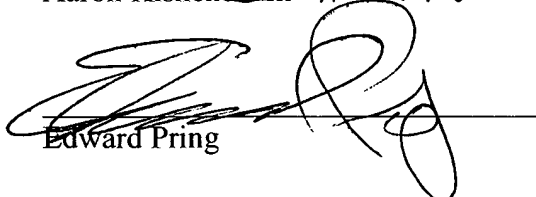
4/1/2004
Date


David M. Chess

1 April 2004
Date

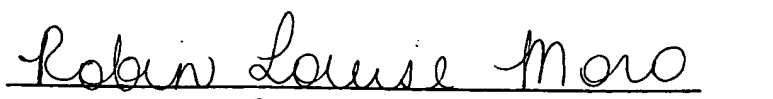

Aaron Kishenbaum Kershenbaum

1 April 2004
Date


Edward Pring

1 April 2004
Date

Notary Seal and date:


Robin Louise Moro
Notary Public, State of NY
No. 01DI8045019
County of Westchester
Commission Expires July 17, 2006
4-1-04